

# Recursive Algorithms

Introduction

Lecture 11

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<https://www.khanacademy.org/computing/computer-science/algorithms/recursive-algorithms/a/recursion>

# Algorithms can call other algorithms

```
Algorithm h(integer x)  
    return x - 5
```

```
Algorithm g(integer x)  
    y = h(x - 10)  
    return 2*y
```

```
Algorithm f(integer x)  
    y = g(3*x)  
    return y + 1
```

```
z = f(5)  
print (z)
```

• What is printed?

A. 10

B. 1

C. 4

D. 0

E. None of the above



# Stacking code frames

```
Algorithm h(integer x)  
  return x - 5
```

```
Algorithm g(integer x)  
  y = h(x - 10)  
  return 2*y
```

```
Algorithm f(integer x)  
  y = g(3*x)  
  return y + 1
```

Stack frames

→ z=f(5)

z = f(5)

# Stacking code frames

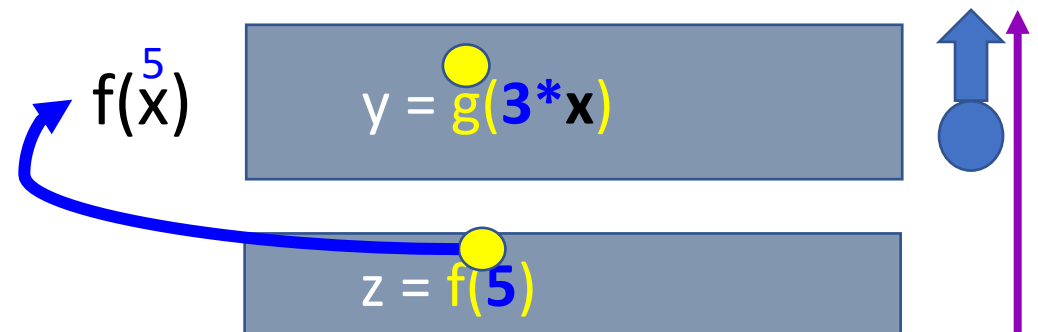
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  return x - 5
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```
Algorithm g(integer x)  
  y = h(x - 10)  
  return 2*y
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Stack frames

```
→ Algorithm f(integer x)  
  y = g(3*x)  
  return y + 1
```

z=f(5)



# Stacking code frames

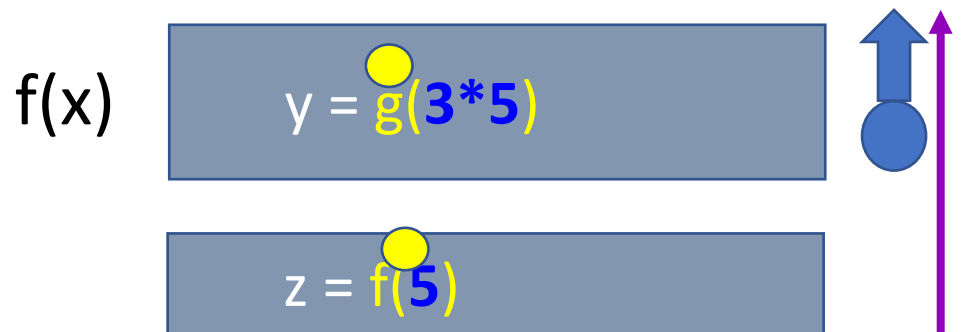
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Algorithm g(integer x)  
  y = h(x - 10)  
  return 2*y
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Algorithm f(integer x)  
→ y = g(3*x)  
  return y + 1
```

```
z=f(5)
```

Stack frames



# Stacking code frames

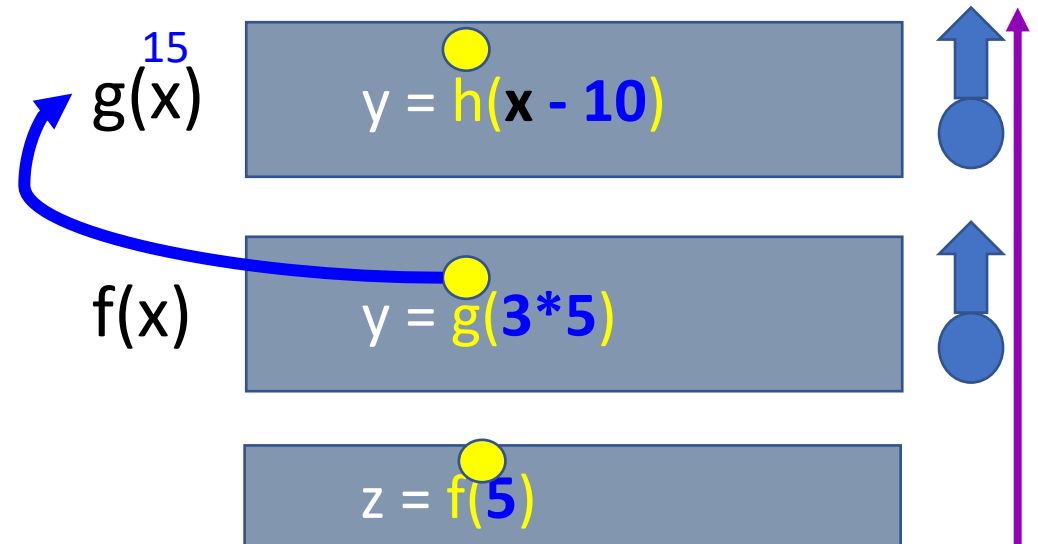
```
Algorithm h(integer x)  
  return x - 5
```

→ Algorithm **g**(integer x)  
 y = **h**(x - 10)  
 return 2\*y

```
Algorithm f(integer x)  
  y = g(3*x)  
  return y + 1
```

```
z = f(5)
```

Stack frames



# Stacking code frames

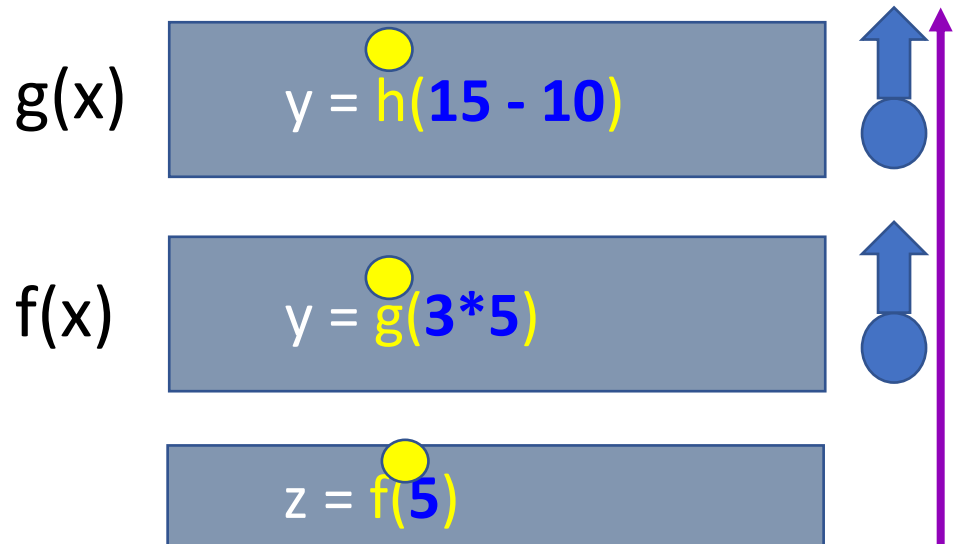
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return `x - 5`

Algorithm `g(integer x)`  
→ `y = h(x - 10)`  
return `2*y`

Algorithm `f(integer x)`  
`y = g(3*x)`  
return `y + 1`

`z = f(5)`

Stack frames



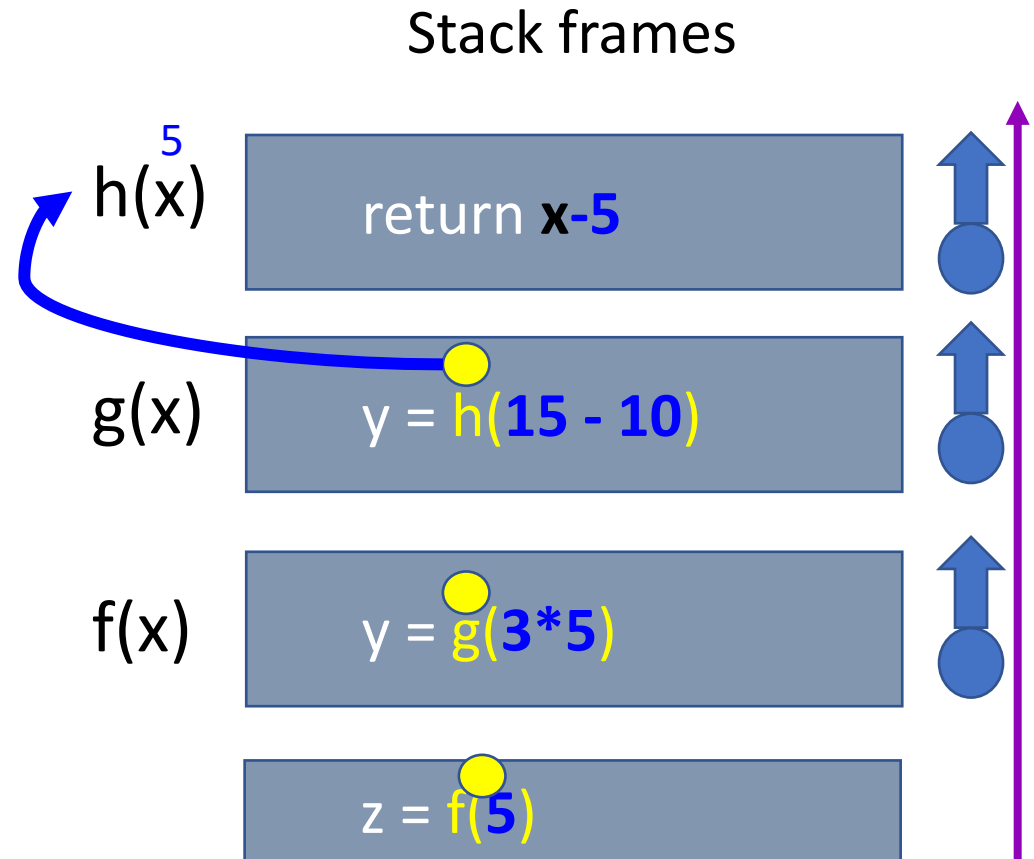
# Stacking code frames

→ Algorithm `h(integer x)`  
return `x - 5`

Algorithm `g(integer x)`  
`y = h(x - 10)`  
return `2*y`

Algorithm `f(integer x)`  
`y = g(3*x)`  
return `y + 1`

`z = f(5)`





# Stacking code frames

Algorithm **h**(integer  $x$ )

→ return  $x - 5$

Algorithm **g**(integer  $x$ )

$y = h(x - 10)$

return  $2*y$

Algorithm **f**(integer  $x$ )

$y = g(3*x)$

return  $y + 1$

$z = f(5)$

Stack frames

$h(x)$

return **5-5**

$g(x)$

$y = h(15 - 10)$

$f(x)$

$y = g(3*5)$

$z = f(5)$



# Stacking code frames

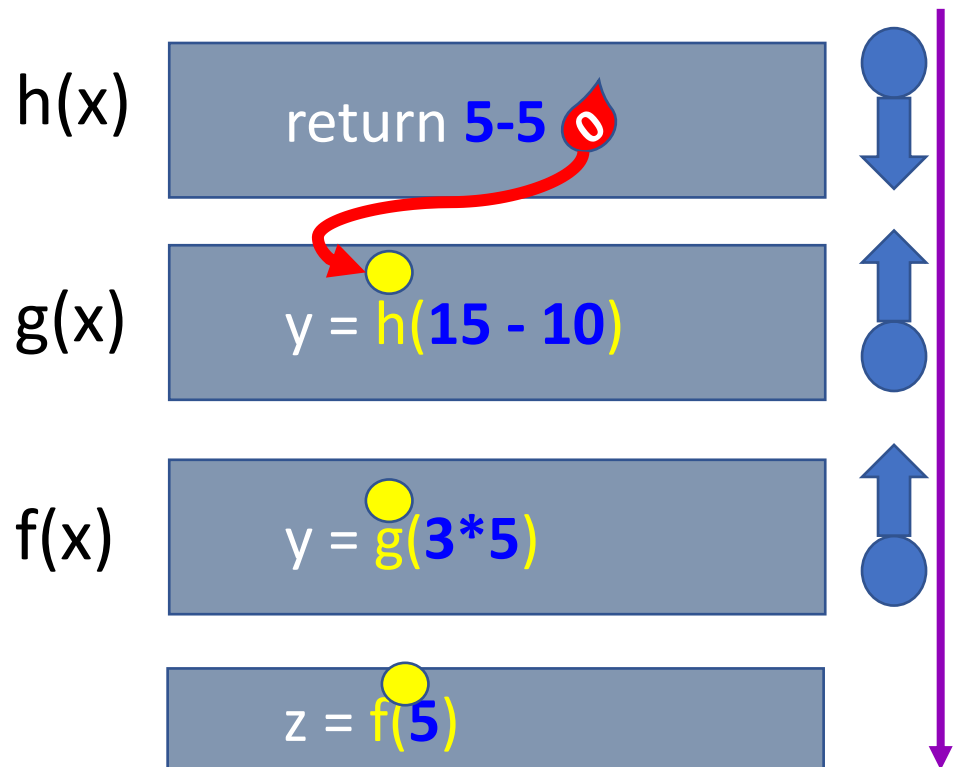
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```

```
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  → y = h(x - 10)
  return 2*y
```

```
Algorithm f(integer x)
  y = g(3*x)
  return y + 1
```

```
z=f(5)
```

Stack frames



# Stacking code frames

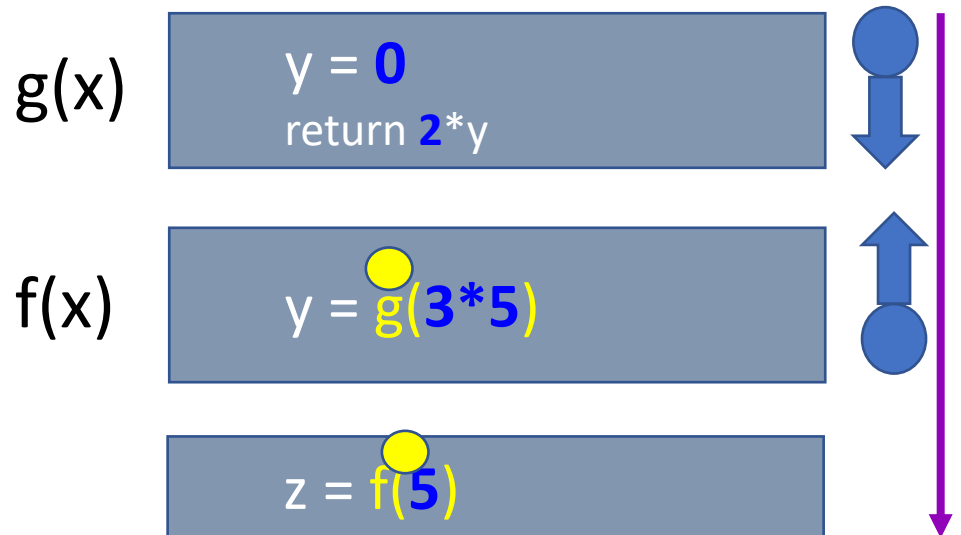
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  y = g(3*x)  
  return y + 1
```

```
z = f(5)
```

Stack frames



# Stacking code frames

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Algorithm h(integer x)  
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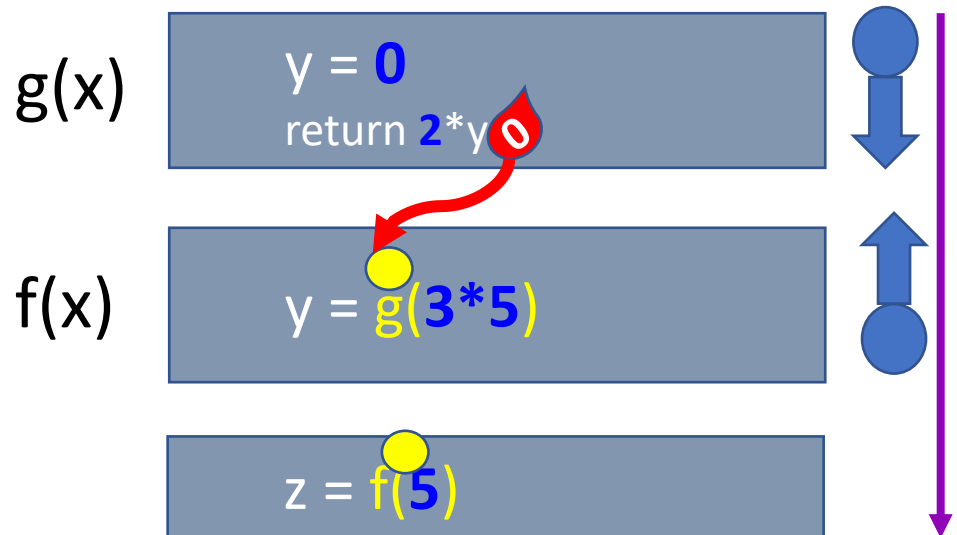
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  return 2*y
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```
Algorithm f(integer x)
```

```
→ y = g(3*x)  
  return y + 1
```

```
z = f(5)
```

Stack frames



# Stacking code frames

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Algorithm h(integer x)  
  return x - 5
```

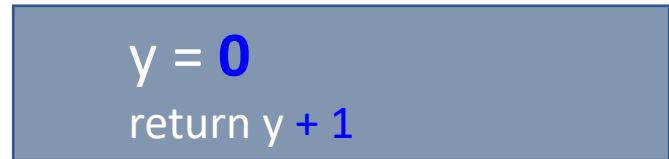
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Algorithm g(integer x)  
  y = h(x - 10)  
  return 2*y
```

```
Algorithm f(integer x)  
  y = g(3*x)  
→ return y + 1
```

```
z=f(5)
```

Stack frames

f(x)



# Stacking code frames

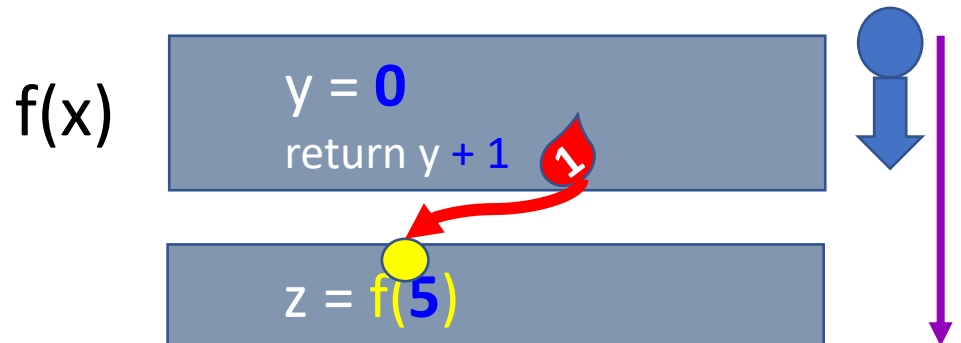
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  return y + 1
```

→ z=f(5)

Stack frames



# Stacking code frames

```
Algorithm h(integer x)  
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```

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Algorithm g(integer x)  
  y = h(x - 10)  
  return 2*y
```

```
Algorithm f(integer x)  
  y = g(3*x)  
  return y + 1
```

$z = f(5)$

Stack frames

$z = 1$

# An algorithm can call the **same** algorithm

- What will happen if we place call to algorithm  $f()$  inside algorithm  $f()$ ?

```
Algorithm  $f$ (integer  $x$ )  
     $y = f(3*x)$   
    return  $y + 1$ 
```

- The stack frames will pile up until memory permits and then the program will crash (**stack overflow!**)



# Recursive algorithms

- We can use **algorithms which call the same algorithm** inside them if the big problem can be broken into smaller subproblems, which require **the same logic to compute**
- Such problems are called ***recursive problems***, and the algorithm which contains a call to itself is called a ***recursive algorithm***

# Example of recursive problem: factorial

$$5! = 5 * \underbrace{4 * 3 * 2 * 1}_{4!}$$

$$5! = 5 * (4!)$$

$$4! = 4 * (3!)$$

etc...

Recurrence relation

$$F(n) = n * F(n-1) \text{ for } n > 1$$

$$F(1) = 1$$

## Definition of *factorial*

$$F(n) = n * F(n-1) \text{ for } n > 0$$

$$F(1) = 1$$

## Algorithm factorial (n)

Base case

```
if n <= 1:  
    return 1  
return n * factorial (n-1)
```

Recurse with  
smaller problem

# Behind the curtain: *factorial*

"The Stack"

```
Algorithm fac (n):  
  if n <= 1:  
    return 1  
  return n * fac (n-1)
```

```
a = fac (4)
```

Loaded definition of *fac* to compute *fac(4)*, but cannot compute, needs to compute *fac(3)* first

fac(n)

return 4\**fac(3)*

a = *fac(4)*



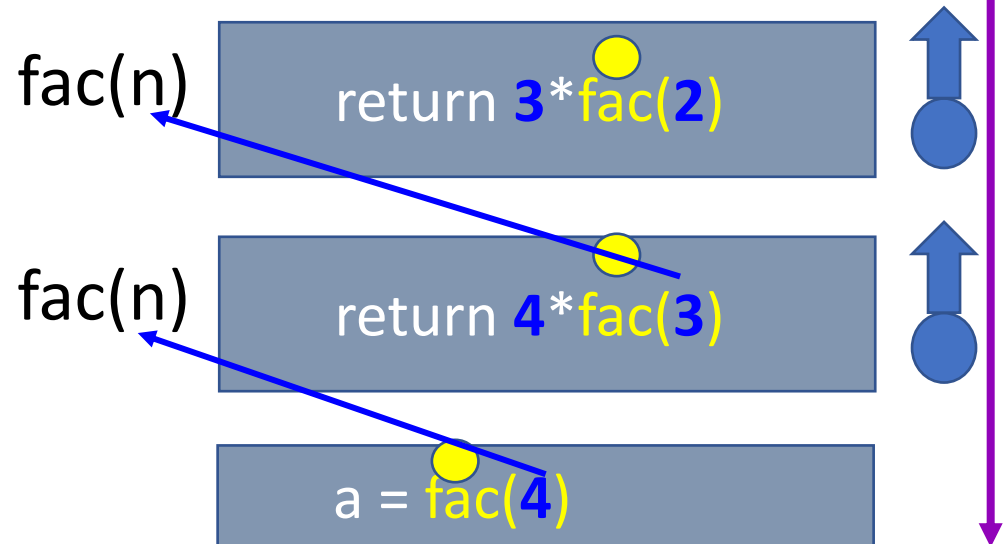
# Behind the curtain: *factorial*

"The Stack"

```
Algorithm fac (n):  
  if n <= 1:  
    return 1  
  return n * fac (n-1)
```

```
a = fac (4)
```

Loaded a **different copy** of *fac*, to compute *fac*(3)



# Behind the curtain: *factorial*

```
Algorithm fac (n):  
  if n <= 1:  
    return 1  
  return n * fac (n-1)  
  
a = fac (4)
```

Finally can  
compute fac(1)

"The Stack"

fac(n)

return 1

fac(n)

return 2\*fac(1)

fac(n)

return 3\*fac(2)

fac(n)

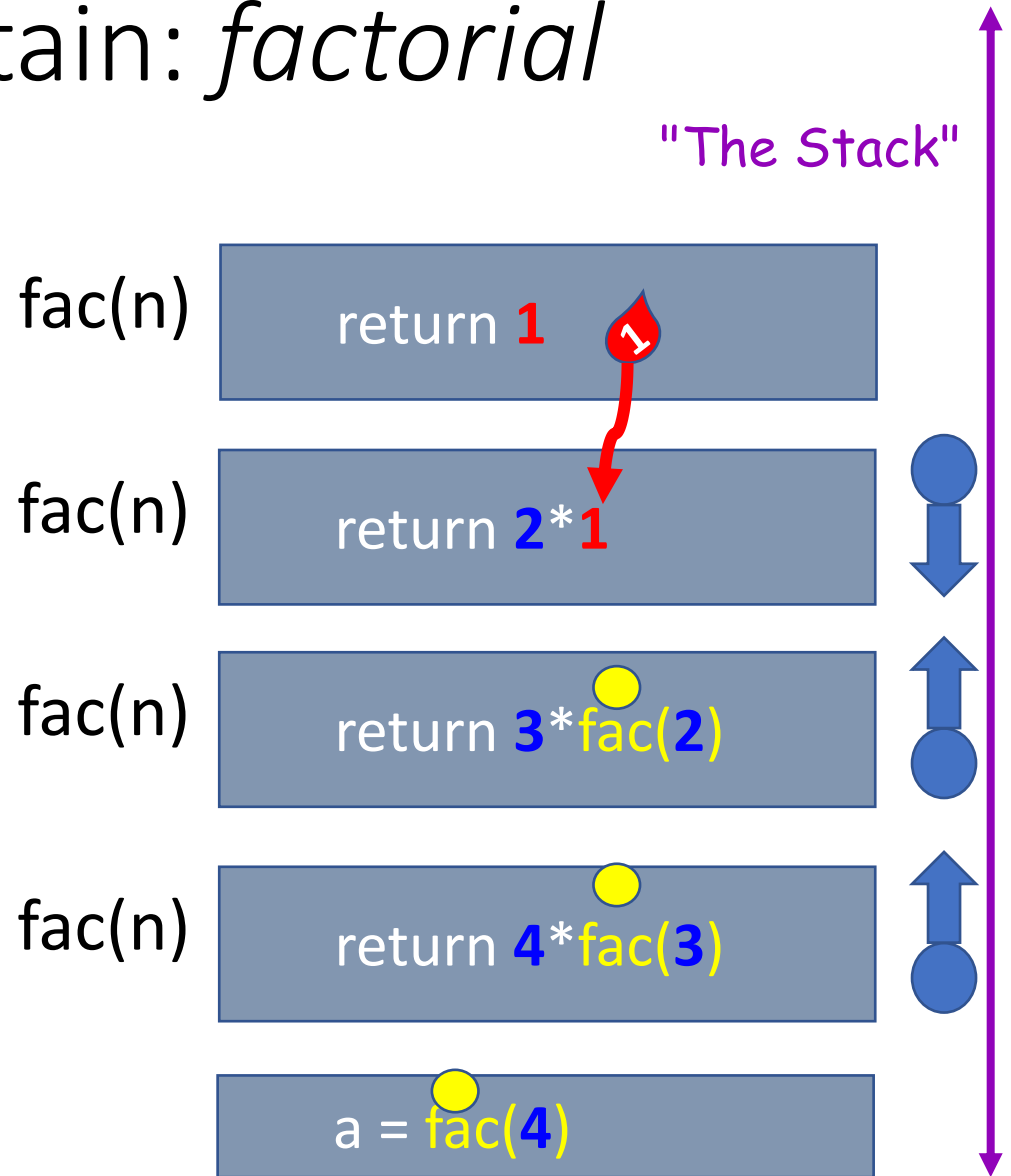
return 4\*fac(3)

a = fac(4)



# Behind the curtain: *factorial*

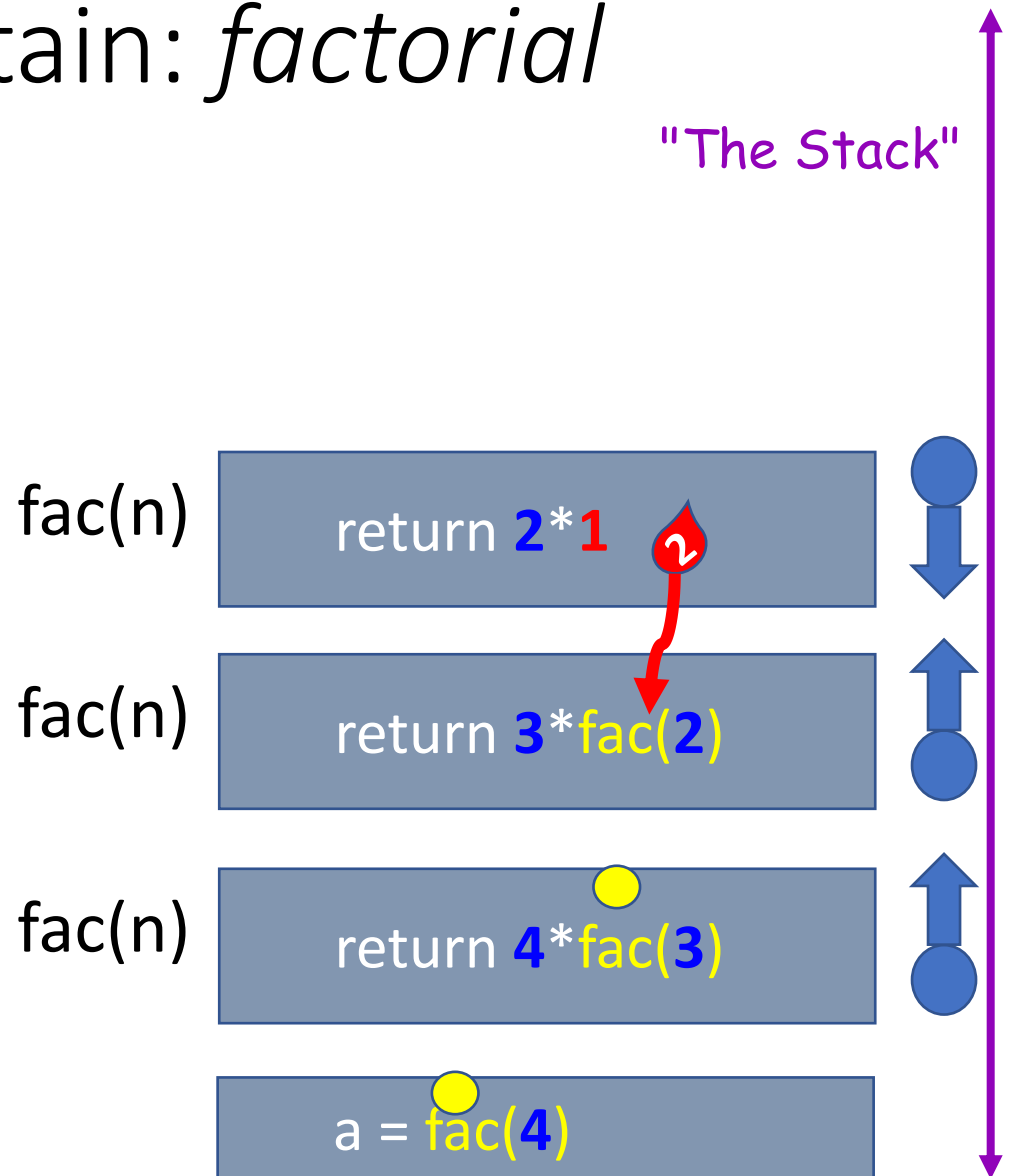
```
Algorithm fac (n):  
  if n <= 1:  
    return 1  
  return n * fac (n-1)  
  
a = fac (4)
```



# Behind the curtain: *factorial*

```
Algorithm fac (n):  
  if n <= 1:  
    return 1  
  return n * fac (n-1)
```

```
a = fac (4)
```



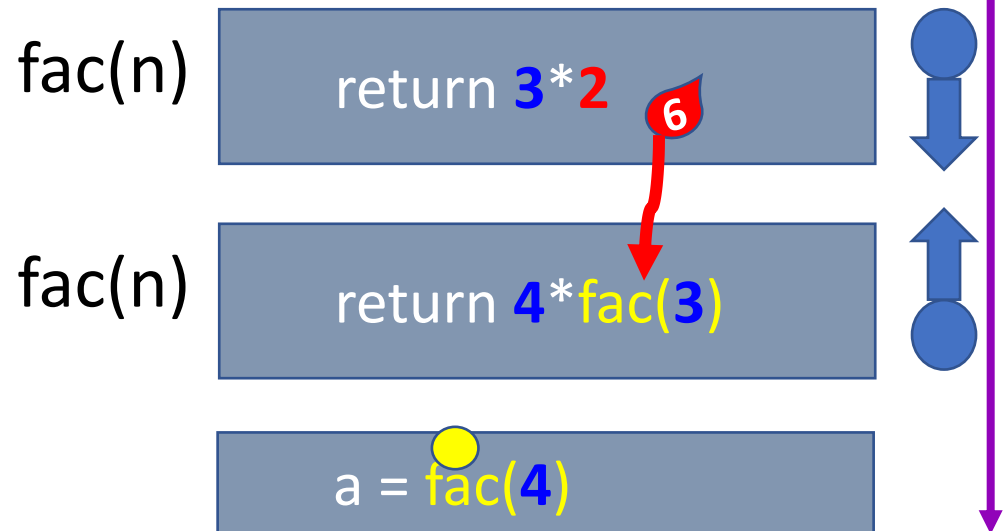


# Behind the curtain: *factorial*

```
Algorithm fac (n):  
  if n <= 1:  
    return 1  
  return n * fac (n-1)
```

```
a = fac (4)
```

"The Stack"



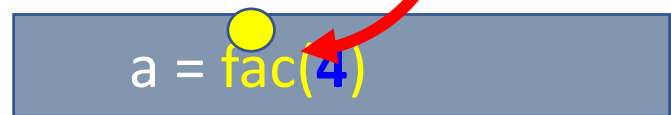
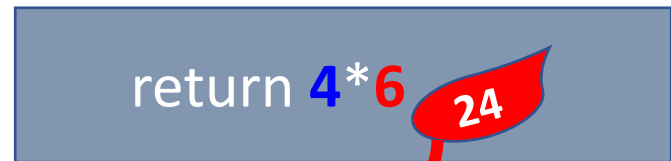
# Behind the curtain: *factorial*

```
Algorithm fac (n):  
  if n <= 1:  
    return 1  
  return n * fac (n-1)
```

```
a = fac (4)
```

"The Stack"

fac(n)



# Behind the curtain: *factorial*

"The Stack"



```
Algorithm fac (n):  
  if n <= 1:  
    return 1  
  return n * fac (n-1)
```

```
a = fac (4)
```

a = **24**



# Components of a recursive solution

## Base case

- A recursive solution must have **one or more (non-recursive) base cases** (when to stop)

$$\text{factorial}(1) = 1$$

## Recursive Step

- Recursive calls must **progress towards the base case**: a recursive solution to a problem of certain size should be expressed through the exact same solution **with a smaller problem size**

$$\text{factorial}(n) = n * \text{factorial}(n-1)$$

## Recursive step

You are implementing algorithm  $power(x,y)$  for computing  $x^y$ .

What is your recursive step?

- A.  $power(x) = power(x,y-1)$
- B.  $power(x) = x*power(x,y)$
- C.  $power(x) = x*power(x,y-1)$
- D.  $power(x) = y*power(x-1,y)$
- E. None of the above



## Base case

You are implementing algorithm  $power(x,y)$  for computing  $x^y$ .

What is your base case?

- A. If  $x == 1$ , return  $x$
- B. If  $y == 1$ , return  $x$
- C. If  $y == 0$ , return  $1$
- D. If  $y == 0$ , return  $0$
- E. None of the above



# Order 1. What is printed?

```
algorithm printNum(count):  
    if count < 1:  
        return  
    print(count)  
    printNum(count-1)  
  
printNum(4)
```

- A. 4 3 2 1 0
- B. 0 1 2 3 4
- C. 4 3 2 1
- D. 1 2 3 4
- E. Error: stack overflow

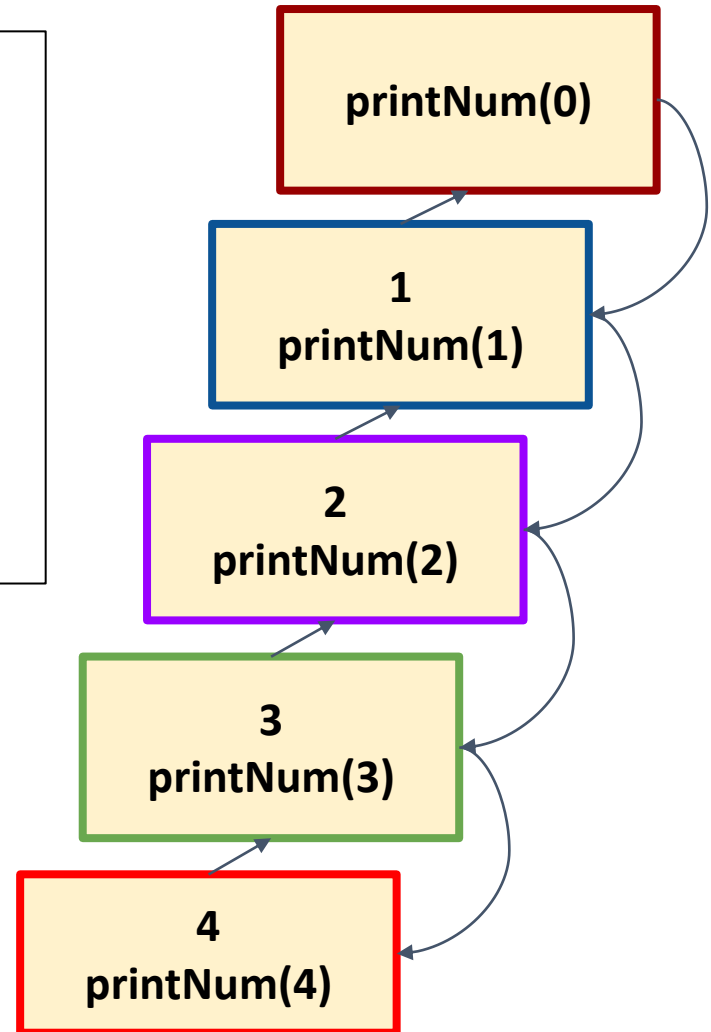


# What is printed? Solution

```
algorithm printNum(count):  
    if count < 1:  
        return  
    print(count)  
    printNum(count-1)  
  
printNum(4)
```

Correct answer: C

4 3 2 1





## Order 2. What is printed now?

```
algorithm printNum(count):  
    if count < 0:  
        return  
    printNum(count-1)  
    print(count)
```

```
printNum(4)
```

A. 4 3 2 1 0

B. 0 1 2 3 4

C. 4 3 2 1 0

D. 0 1 2 3 4



# Fun. What is fun(5)?

Algorithm fun(n):

if n ≤ 1:

return 1

else if n%2 == 0:

return fun(n/2)

else:

return fun(n/2) + fun(n/2 + 1)

A. 3

B. 5

C. 2

D. 1

E. None of  
the above



# Fun. What is fun(5)? Solution

Algorithm fun(n) :

if n <= 1:

return 1

else if n%2 == 0:

return fun(n/2)

else:

return fun(n/2) + fun(n/2 + 1)

A. 3

B. 5

C. 2

D. 1

E. None of the above

$$\text{fun}(5) = \text{fun}(2) + \text{fun}(3)$$

$$\text{fun}(2) = \text{fun}(1)$$

$$\text{fun}(1) = 1 \rightarrow \text{fun}(2) = 1$$

$$\text{fun}(3) = \text{fun}(1) + \text{fun}(2) = 1 + 1 = 2$$

$$\text{fun}(5) = 1 + 2 = 3$$



# Reasoning about time complexity

## Recursive step:

`factorial (n) = n * factorial (n-1)`

## Recurrence relation for running time:

Express running time for size  $n$  through running time for smaller input:  $T(n) = 1 + T(n-1)$

# Reasoning about time complexity

$$T(n) = 1 + T(n-1)$$



big O

Steps:

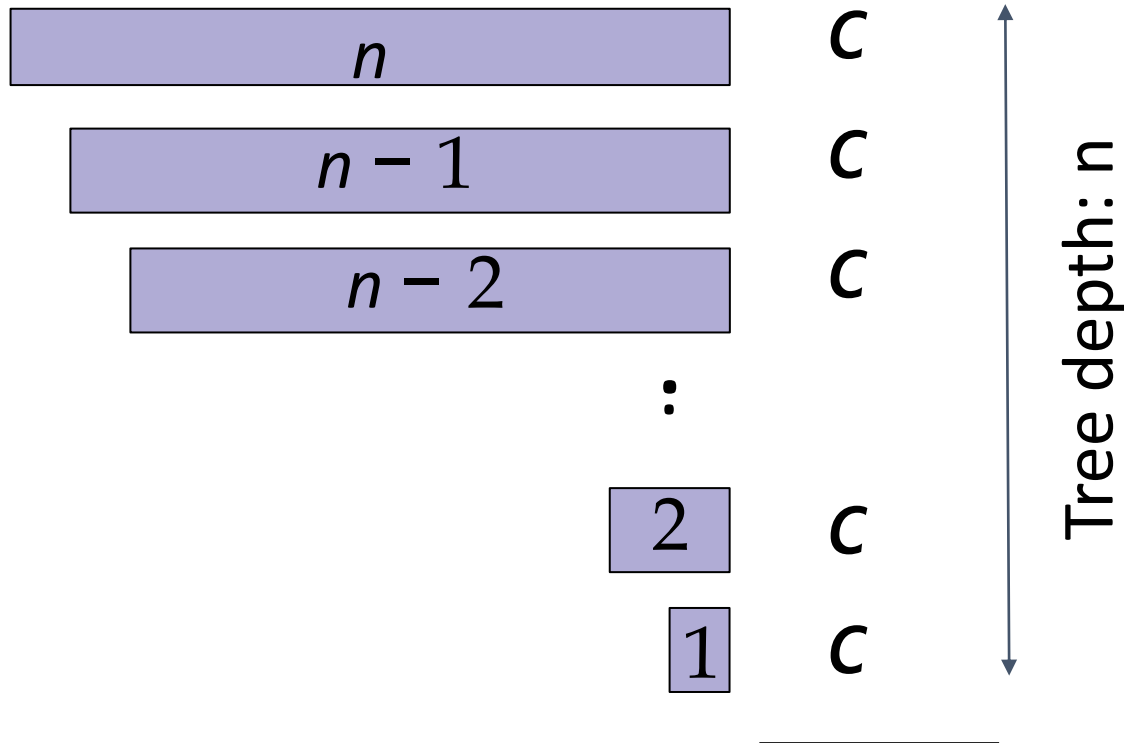
- Draw recursion tree
- Estimate the depth of the tree
- Estimate work done at each level of the tree
- Add all level work together

$$T(n) = 1 + T(n-1)$$

# Factorial: recursion tree

Input size at each level

Work at each level



$$\text{Total: } cn = O(n)$$



# Recursive Searching in Sorted Data

Separate and conquer

<https://www.khanacademy.org/computing/computer-science/algorithms/binary-search/a/binary-search>

## Problem: Searching in a sorted array

**Input:** A sorted array  $A[low \dots high]$   
( $\forall low \leq i < high : A[i] \leq A[i + 1]$ ).  
A value  $key$  to search for.

**Output:** An index,  $i$ , ( $low \leq i \leq high$ ) where  
 $A[i] = key$ .  
Otherwise, return -1 (NOT\_FOUND).



# Searching in a Sorted Array

## Example

*search*(2) → -1      *search*(20) → 3

*search*(3) → 0      *search*(20) → 4

*search*(4) → -1      *search*(60) → 6

*search*(90) → -1

3	5	8	20	20	50	60
---	---	---	----	----	----	----

0   1   2   3   4   5   6

# BinarySearch(*A, low, high, key* )

if *high* < *low* :

    return -1

*mid* = *low* +  $\lfloor \frac{\textit{high} - \textit{low}}{2} \rfloor$

if *key* == *A*[*mid* ]:

    return *mid*

else if *key* < *A*[*mid* ]:

    return BinarySearch(*A, low, mid* - 1, *key* )

else:

    return BinarySearch(*A, mid* + 1, *high, key* )

# Example: Searching for key 50

0	1	2	3	4	5	6	7	8	9	10
3	5	8	10	12	15	18	20	20	50	60

# Example: Searching for key 50

BinarySearch(A, 0, 10, 50)

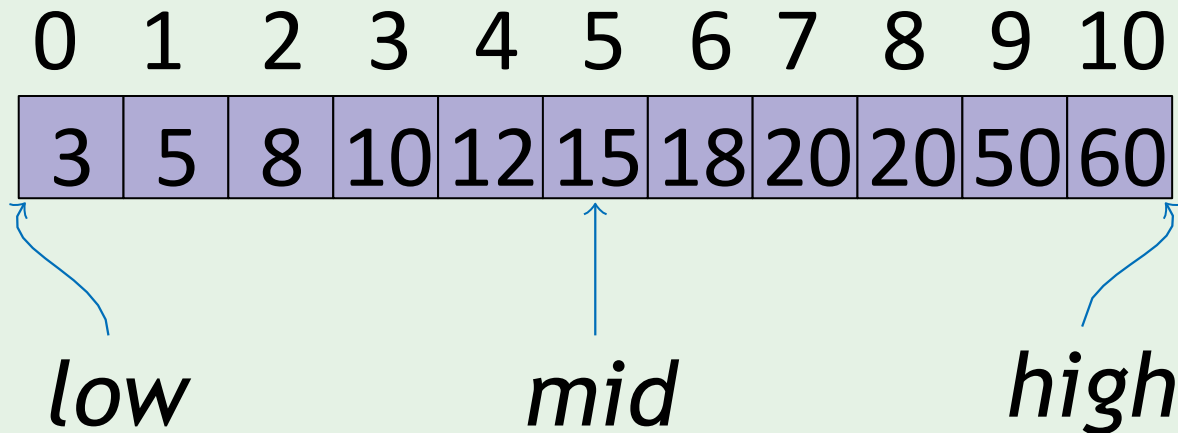
0	1	2	3	4	5	6	7	8	9	10
3	5	8	10	12	15	18	20	20	50	60

*low*

*high*

# Example: Searching for key 50

BinarySearch(A, 0, 10, 50)



# Example: Searching for key 50

BinarySearch(A, 0, 10, 50)

BinarySearch(A, 6, 10, 50)

0	1	2	3	4	5	6	7	8	9	10
3	5	8	10	12	15	18	20	20	50	60

*low*

*mid*

*high*

# Example: Searching for key 50

BinarySearch(A, 0, 10, 50)

BinarySearch(A, 6, 10, 50)

0	1	2	3	4	5	6	7	8	9	10
3	5	8	10	12	15	18	20	20	50	60

*low*

*mid*

*high*

# Example: Searching for key 50

BinarySearch(A, 0, 10, 50)

BinarySearch(A, 6, 10, 50)

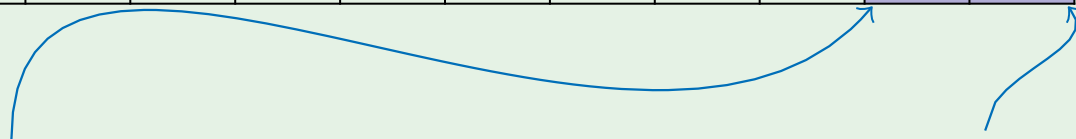
BinarySearch(A, 9, 10, 50)

0	1	2	3	4	5	6	7	8	9	10
3	5	8	10	12	15	18	20	20	50	60

*low*

*mid*

*high*





# Example: Searching for key 50

BinarySearch(A, 0, 10, 50)

BinarySearch(A, 6, 10, 50)

BinarySearch(A, 9, 10, 50)

0	1	2	3	4	5	6	7	8	9	10
3	5	8	10	12	15	18	20	20	50	60

*low*

*mid*

*high*

# Example: Searching for key 50

BinarySearch(A, 0, 10, 50)

BinarySearch(A, 6, 10, 50)

BinarySearch(A, 9, 10, 50) → 9

0	1	2	3	4	5	6	7	8	9	10
3	5	8	10	12	15	18	20	20	50	60

# Puzzle challenge: find the fake coin

- There are 8 identical-looking coins
- One of these coins is counterfeit and is known to be lighter than the genuine coins
- What is the minimum number of weighings needed to identify the fake coin with a two-pan balance scale without weights?



# Iterative Version

**BinarySearchIt(*A, low, high, key*)**

**while *low* ≤ *high*:**

*mid* = *low* +  $\lfloor \frac{\textit{high} - \textit{low}}{2} \rfloor$

if *key* == *A*[*mid*]:

    return *mid*

else if *key* < *A*[*mid*]:

*high* = *mid* - 1

else:

*low* = *mid* + 1

return -1

# Iterative Version

**BinarySearchIt(*A, low, high, key*)**

**while *low* ≤ *high*:**

*mid* = *low* +  $\lfloor \frac{\textit{high} - \textit{low}}{2} \rfloor$

if *key* == *A*[*mid*]:

    return *mid*

else if *key* < *A*[*mid*]:

*high* = *mid* - 1

else:

*low* = *mid* + 1

return -1

Running time:

- The size of the input halves at each iteration
- Such loops terminate in  $\log n$  steps
- At each loop iteration we do a constant number of operations
- So the running time is  $O(\log n)$

# BinarySearch(*A, low, high, key* )

if *high* < *low* :

    return -1

*mid* = *low* +  $\lfloor \frac{\textit{high}-\textit{low}}{2} \rfloor$

if *key* == *A*[*mid* ]:

    return *mid*

else if *key* < *A*[*mid* ]:

    return BinarySearch(*A, low, mid* - 1, *key* )

else:

    return BinarySearch(*A, mid* + 1, *high, key* )

Recurrence relation for running time:

$$T(n) = 1 + T(n/2)$$

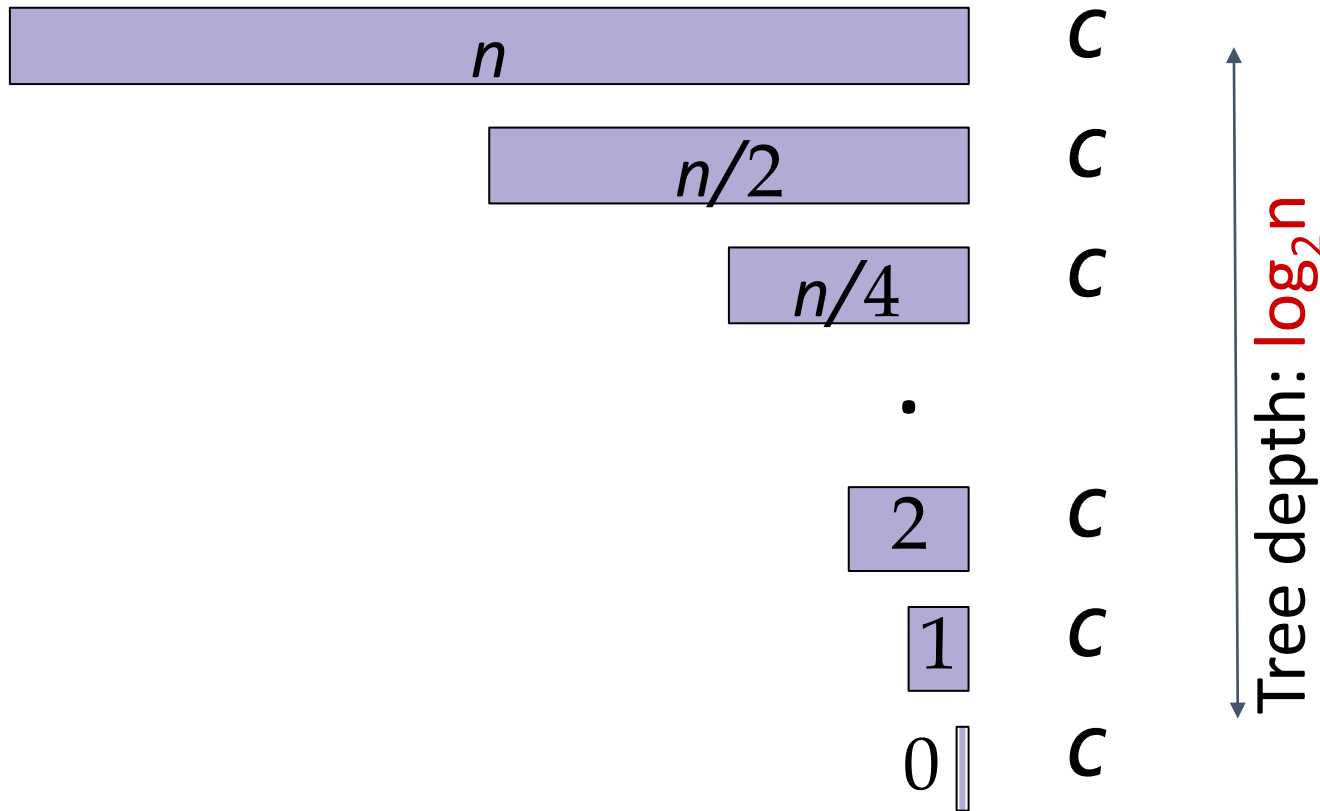
# Recursion Tree

$$T(n) = 1 + T(n/2)$$

## Binary Search:

Input size at each level

Work at each level



$$\text{Total: } \sum_{i=0}^{\log_2 n} c = O(\log n)$$

# Linear search

$O(n)$

# Binary search

$O(\log n)$

Calculating runtime of recursive algorithms  
is not always that easy



# Recursive Data Structures

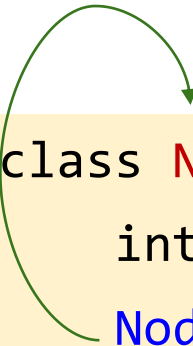
# When recursion feels natural

1. The *problem* is defined recursively:

**factorial** (n) = n \* **factorial**(n-1)

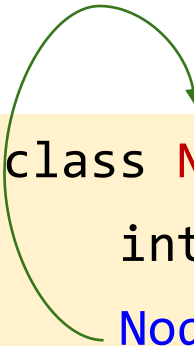
**binarySearch**(A, 0, n) = ... and **binarySeach**(A, 0, n/2)

2. The structure is defined recursively:



```
class Node {  
    int data;  
    Node next;  
}
```

Linked List



```
class Node {  
    int data;  
    Node leftChild;  
    Node rightChild;  
}
```

Binary tree

# Example: recursive search in Linked Lists 1/3

```
Algorithm recurFind (Node current, int target, int position)
    if (current == null)
        return -1;
    if (current.data == target)
        return position;
    return recurFind (current.next, target, position+1);
```

Base case: current node is null, we reached the end of the Linked List, return -1

```
pos = recurFind(head, target, 0);
```

# Example: recursive search in Linked Lists 2/3


```
Algorithm recurFind (Node current, int target, int position)
    if (current == null)
        return -1;
    if (current.data == target) ← Check current data
        return position;
    return recurFind (current.next, target, position+1);

pos = recurFind(head, target, 0);
```

# Example: recursive search in Linked Lists 3/3

```
Algorithm recurFind (Node current, int target, int position)
    if (current == null)
        return -1;
    if (current.data == target)
        return position;
    return recurFind (current.next, target, position+1);
```

```
pos = recurFind(head, target, 0);
```



Recur with the next node  
and also increment  
position counter by 1

# Which correctly recursively finds the size of a Linked List?

```
public int size(Node n){
    if (n.next == null){
        return 1;
    } else {
        return 1 + size(n.next);
    }
}
```

A

```
public int size(Node n){
    int s = 1;
    if (n.next == null){
        return s;
    } else {
        s++;
        return size(n.next);
    }
}
```

B

```
public int size(Node n){
    if (n == null){
        return 0;
    } else {
        return 1 + size(n.next);
    }
}
```

C

D. More than one of the above

E. None of the above



# Recursion: summary

- Recursive algorithms are particularly appropriate **when the underlying *problem* or the *data* to be treated are defined in recursive terms**
- To design a recursive algorithm:
  1. Think of the simplest possible input: that becomes the **base case**
  2. Imagine that we know a solution to the problem of size  $n-1$ . Think of the steps needed to convert this solution to the solution to a larger problem. This is your **recursive step**

# Recursion vs. iteration

## → Recursion

- ◆ Each recursive call **requires extra space** on the stack
- ◆ If we get **infinite recursion**, the program will eventually run out of memory, cause stack overflow, and **the program will terminate**
- ◆ Solutions to some problems are **easier to formulate** recursively

## → Iteration

- ◆ Each iteration **does not require extra space**
- ◆ An **infinite loop could loop forever** since there is no extra memory being created
- ◆ Iterative **solutions** to a problem may **not** always be as **obvious** as a recursive solution

Generally, recursive solutions are slower than iterative solutions due to the overhead of function calls